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MAY 8 - 2001

Technology Center 2100

2 Application Serial No..... 09/670,981
Filing Date 9/26/2000
3 Inventorship Falcon et al.
Applicant..... Microsoft Corporation
4 Attorney's Docket No. MS1-658US

Title: Systems and Methods for Controlling the Number of Clients that Access a Server

TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

To: The Commissioner of Patents & Trademarks
Washington, D.C. 20231

From: James R. Banowsky (Tel. 509-324-9256; Fax 509-323-8979)
Lee & Hayes, PLLC
421 W. Riverside Avenue, Suite 500
Spokane, WA 99201

The following enumerated items accompany this transmittal letter and are being submitted for the matter identified in the above caption.

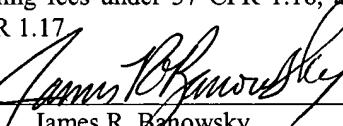
1. Transmittal letter including Certificate of Mailing
2. Return Post Card
3. Request for Correction of Filing Receipt w/attachments

Large Entity Status [x] Small Entity Status []

Applicant hereby requests an extension of time in any case such an extension is necessary. The fee should be charged to the Deposit Account indicated below.

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any processing fees, including any necessary extension fees under 37 CFR 1.17

Date: 2-1-01

By: 
James R. Banowsky
Reg. No. 37,773

CERTIFICATE OF MAILING

I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to The Commissioner of Patents & Trademarks, Washington, D.C. 20231, on the below-indicated date

Date: 2/1/2001

By: 
Cheryl K. Boies



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3 Filing Date 9/26/2000 *MAR 8 - 2001*
4 Inventor Falcon et al.
5 Applicant Microsoft Corporation *Technology Center 2100*
6 Attorney's Docket No. MS1-658US
7 Title: Systems and Methods for Controlling the Number of Clients that Access a
8 Server

6 **REQUEST FOR CORRECTION OF FILING RECEIPT***RECEIVED MAY 9 - 2001 Technology Center 2100*

9 To: Application Processing Division – Customer Correction Branch
10 Commissioner of Patents and Trademarks
11 Washington, D.C. 20231

12 From: James R. Banowsky (Tel. 509-324-9256; Fax 509-323-8979)
13 Lee & Hayes, PLLC
14 421 W. Riverside Avenue, Suite 500
15 Spokane, WA 99201

16 There is a typographical error in the third listed Applicant on the Filing
17 Receipt. The correct name of the Applicant is **Stephen R. Falcon**. A copy of the
18 filed Declaration is enclosed for verification.

19 A copy of the filing receipt with the correction noted is also enclosed.
20 Applicant respectfully requests correction and issuance of a corrected filing
21 receipt.

22 Respectfully submitted,

23 Dated: 2-1-01

24 By: James R. Banowsky
25 James R. Banowsky
Reg. No. 37,773
(509) 324-9256

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4

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COMMISSIONER FOR PATENTS
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Bib Data Sheet

CONFIRMATION NO. 4393

SERIAL NUMBER 09/670,981	FILING DATE 09/26/2000 RULE	CLASS 713	GROUP ART UNIT 2131	ATTORNEY DOCKET NO. MS1-658US
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APPLICANTS

Vellore T. Vetrivelkumaran, Redmond, WA;
 Raju Gulabani, Redmond, WA;
 Stephen R. Falcon, Woodinville, WA;
 Neel Malik, Seattle, WA;

**** CONTINUING DATA *********** FOREIGN APPLICATIONS *********IF REQUIRED, FOREIGN FILING LICENSE
GRANTED ** 11/18/2000**

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY WA	SHEETS DRAWING 4	TOTAL CLAIMS 51	INDEPENDENT CLAIMS 8
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Att. wance				
Verified and Acknowledged	Examiner: _____ Signature: _____ Initials: _____				

ADDRESS

James R Banowsky
 Lee & Hayes PLLC
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 Spokane ,WA 99201

TITLE

Systems and methods for controlling the number of clients that access a server

FILING FEE RECEIVED 1798	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
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		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/670,981	09/26/2000	2131	1798	MS1-658US	4	51	8

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FILING RECEIPT



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MAY 9 - 2001 Date Mailed: 01/25/2001

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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Vellore T. Vetrivelkumaran, Redmond, WA ;

Raju Gulabani, Redmond, WA ;

Steve R. Falcon, Woodinville, WA ; *Stephen R. Falcon*

Neel Malik, Seattle, WA ;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 11/18/2000

Title

Systems and methods for controlling the number of clients that access a server

Preliminary Class

713

Data entry by : MASON, JAMES

Team : OIPE

Date: 01/25/2001



O I P E
FEB 16 2001

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

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- o The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- o The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- o The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- o The docket number allows a maximum of 25 characters.
- o If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- o The title is recorded in sentence case.

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